



## SECRETARIAL COMPLIANCE REPORT OF PENINSULA LAND LIMITED

(For the year ended 31<sup>st</sup> March, 2020)

Ref: 338/2020-21

To,

Board of Directors

**Peninsula Land Limited**

Peninsula Spenta, Mathuradas Mills Compound,

Senapati Bapat Marg,

Lower Parel, Mumbai- 400013.

I, **Dhrumil M. Shah** have examined:

- (a) all the documents and records made available to us and explanation provided by **Peninsula Land Limited** ("the listed entity" or "the Company"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2020 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;





- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018: ***Not Applicable to the Company under review period;***
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018: ***Not Applicable to the Company under review period;***
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014: ***Not Applicable to the Company under review period;***
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013: ***Not Applicable to the Company under review period;***
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

and based on the above examination, I hereby report that, during the review period;

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued, except in respect of matters specified below:

| Sr. No.               | Compliance Requirement<br>(Regulations/ circulars / guidelines including specific clause) | Deviations | Observations/ Remarks of the Practicing Company Secretary |
|-----------------------|---|------------|---|
| <i>Not applicable</i> |   |            |   |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder in so far as it appears from my examination of those records.





- (c) The following are the details of actions taken against the listed entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars/ guidelines issued thereunder.

| Sr. No.        | Action taken by | Details of violation | Details of action taken E.g. warning, debarment, etc. | Observations / remarks of the Practicing Company Secretary, if any. |
|----------------|-----------------|----------------------|---|---|
| Not applicable |                 |                      |   |   |

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No.        | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2019 | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity. |
|----------------|--|--|--|---|
| Not applicable |  |  |  |   |

I further report that there was no event of appointment / re-appointment / resignation of statutory auditor of the Company during the review period. In this regard, I report that the Company has complied with Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.



Place: Mumbai  
Date: 30/7/2020

For Dhrumil M Shah & Co.

UDIN: F008021B000532303

Dhrumil M. Shah

Practising Company Secretary  
FCS No. 8021 & C.P. No. 8978  
PR No 400/2016